

For SCU researchers - the following is an overview of Research Grant Income Categories, from:

2011 HIGHER EDUCATION RESEARCH DATA COLLECTION SPECIFICATIONS FOR THE COLLECTION OF 2010 DATA

**Research Funding and Policy Branch
Department Of Innovation, Industry, Science and Research
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The complete document is available for download from
<http://www.innovation.gov.au/RESEARCH/RESEARCHBLOCKGRANTS/Pages/HigherEducationResearchDataCollection.aspx>

7.11 Research income categories

Subject to complying with the definition of research (see **section 1.3.10**) and the specifications set out in **Parts A and B**, HEPs must enter all research income into Research Income Return - Return 1 according to the following four categories:

Category 1: Australian competitive grants

Category 2: Other public sector research income

Category 3: Industry and other research income

Category 4: CRC research income

Specifications for Categories 1, 2 and 3 are set out in **sections 7.11.1, 7.11.2 and 7.11.3 of Part A**. Specifications for Category 4 are set out in **Part B**.

7.11.1 Category 1: Australian competitive grants

Category 1 consists only of income from those research schemes and programs listed on the 2011 Australian Competitive Grants Register (ACGR).

Only net receipted income received by HEPs from the programs and schemes registered on the 2011 ACGR can be claimed under this category. The ACGR is available through the department's website at:

<http://www.innovation.gov.au/Research/ResearchBlockGrants/Pages/AustralianCompetitiveGrantsRegister.aspx>

Grants received from the Australian Government and not included in the 2011 ACGR may be eligible for inclusion in Category 2: Other public sector research income.

Where a HEP receives income from another source in addition to funding partners listed in its Australian Competitive Grant application, then that income should be reported under the relevant category, depending on its source.

7.11.2 Category 2: Other public sector research income

Category 2: Other public sector research income includes:

Australian government – Non Category 1:

This is any other income for the purposes of conducting research received from the Australian Government, whether via programs, grants or contracts, that is not eligible for inclusion as Category 1 research income.

State or Territory government:

This is income for the conduct of research received from state or territory government departments or agencies, whether via programs, grants or contracts.

Local government:

This is income for the conduct of research received from local government departments or agencies, whether via programs, grants or contracts.

Government business enterprises:

This is income for the conduct of research received from enterprises that are wholly or partly owned or funded by Commonwealth, state or territory, or local governments; have a board; and operate on a profit or cost-recovery basis.

Cooperative Research Centres:

This is research income from CRCs in which the reporting HEP was not defined within the Commonwealth Agreement as “The Researcher” or a “Participant” (i.e. was not a signatory to the Commonwealth Agreement, a CRC Participants Agreement, or a Company Constitution during the reporting period).

Reporting of eligible general or untied income from government grants

HEPs are to report any eligible proportion of general or untied income received from government grants for the purposes of conducting research (see **section 7.6**) according to the source of that grant (i.e. whether Australian government - Non Category 1, State or Territory government, or Local government).

7.11.3 Category 3: Industry and other research income

Category 3: Industry and other research income must be categorised in the following subcategories:

Australian

- **Contracts**
- **Grants**
- **Donations, bequests and foundations**

International A: Competitive, Peer-reviewed research grant income

International B: Other income

Category 3 includes:

Australian:

- contract research income provided by industry or other non-government agencies
- grants for the conduct of research other than government provided grants (which should be reported in either Category 1 or Category 2)
- donations and bequests for the conduct of research that have been received from Australian business, Australian non-profit organisations and Australian individuals
- income received from syndicated research and development arrangements
- funds received for providing the cost of a domestic student's HDR fee-paying place (but excluding Commonwealth supported places or places funded through the RTS). This includes tuition fees that domestic fee paying students (non Commonwealth supported) pay to their HEP for a HDR program or HDR-related course of study.

Many research income arrangements involve grants covered by a contract. In categorising funds as either contract research income or grant income, HEPs should regard:

- funding for research where the project was developed primarily by the funding agency, or jointly by the funding agency and the investigator(s) as contract research income
- funding for research where the project was developed primarily by the investigator(s) as grant income.

International A:

- Competitive grants, peer reviewed grants for research from non-Australian industry or non-Australian Government agencies including non-Australian industry collaborative research grants.
- Grants that can be included are those where:
 - a) funds are provided on a competitive basis and are clearly for the conduct of research only; and
 - b) there is a well-defined mechanism for competition and selection by a well-qualified panel.
- Grants that are not eligible are those that provide:
 - a) grants in kind such as the use of facilities, equipment etc. or subsidised travel or accommodation; and
 - b) funding wholly or mainly for infrastructure purposes.

International B:

- contract research provided by non-Australian industry or non-Australian Government agencies including non-Australian industry collaborative research grants
- non-competitive grants for research from non-Australian industry or non-Australian Government agencies including non-Australian industry collaborative research grants
- donations and bequests for conduct of research that have been received from non-Australian business, non-Australian not-for-profit organisations and non-Australian citizens
- funds received for providing the cost of an international student's HDR fee-paying place (but excluding Commonwealth supported places). This includes tuition fees that international fee paying students (non Commonwealth supported) pay to their HEP for a HDR program or HDR-related course of study.

For donations and bequests (Australian and international):

Where all, or a proportion, of a donation or bequest is invested then only the income earned from that investment which is available for expenditure on research in the reference year should be included.

HEPs cannot report any proportion of a donation or bequest that cannot be clearly and transparently attributed as to be expended on the conduct of research.