HREC Complaints Procedure and Policy according to the “National Statement” and the “Australian Code for the Responsible Conduct of Research”

Input

Direct  3rd Party

Complaint related to either:
- Ethical conduct
- HREC process
- HREC decision
- other

Refer back or onto other entity

Non HREC related

Complaint Received, documented in casefile and categorized

HREC related

Appeal against HREC (based on NS)

HREC Decision  HREC Process

Resolve between HREC Chair and Complainant

Unresolved

Resolve between HREC and Complainant

Unresolved

HREC Administrator to develop Memo and recommendations to DVCR

Investigate (ID error in initial decision) and recommend action to resolve

Unresolved

Seek recommendation Ex-Institutionally

Unresolved

Seek recommendation outside HREC

Resolved

Resolved

Resolved

Ethical Research Misconduct (based on Code)

Discrete informal Investigation

No case or resolved

No case or resolved

Formal Inquiry

Resolved

Action to remedy the situation

Imposition of a sanction / penalty

Advice to expert groups and public statements as required

Document and Action:
- Casefile
- HREC Agenda
- Inform DVCR and VC as required
- Communicate to 3rd party as required
- Communicate to complainant